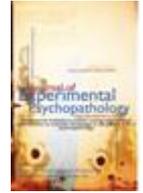


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Tonic immobility and PTSD in a large community sample

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Abstract

People can be paralyzed when facing threat. Such paralysis, or peritraumatic “tonic immobility” (TI), is important as it seems associated with later PTSD development. However, the prevalence of TI is not known. This study investigated its prevalence in a large representative sample as well as its association with PTSD. 4781 participants from the general population completed measures of peritraumatic TI, peritraumatic fear, peritraumatic dissociation, trait anxiety, dissociative tendencies, behavioral inhibition, and PTSD symptoms. Extreme TI was rare in participants without PTSD (0.6% in those without trauma and 0.8% in those with trauma but without PTSD) but not in those with PTSD (7.6%). Moderate TI occurred more often (6.5%, 6.3% and 17.7% for participants without trauma, trauma without PTSD and trauma plus PTSD respectively). Extreme TI was more frequent in participants that experienced sexual violence, childhood emotional abuse, accidents and war-related traumas than in those that did not experience those traumas. In multivariate analyses TI, trait anxiety and dissociative tendencies predicted PTSD severity, with trait anxiety and TI being the most relevant predictors. These results stress the relevance of further exploration of TI responses.

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Keywords: PTSD; tonic immobility; freezing; trait anxiety; dissociation; prevalence

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Introduction

Although automatic action tendencies like fight and flight are functional and serve survival purposes, dysfunctional (exaggerated or generalized) action tendencies have been linked to the development of psychopathology (Brewin & Holmes, 2003). For example, increased avoidance or flight responses have been associated with the development and maintenance of anxiety disorders (Clark, 1999; Hofmann, Alpers & Pauli, 2009). In addition to fight or flight, organisms can also respond with immobility when facing threat. Two types of immobility behavior have been observed: freezing and tonic immobility (TI). Theoretical models slightly differ on when freezing takes place. That is, some put freezing at the beginning of the defense-cascade, in case of distant threat (Marx, Forsyth, Gallup, Fusé & Lexington, 2008) whereas others suggest that freezing often is a first response but then can be prolonged or shown intermittent with fight/flight even when threat is near, depending on the circumstances (e.g., escape options, individual differences) (Eilam, 2005; Hageraars, Oitzl & Roelofs, 2014). However, all models propose freezing would be associated with heightened attention and serves action preparation. It is usually operationalized by immobility, a tense body posture, and heart rate deceleration (Hageraars et al., 2014). On the other hand, TI may occur when freeze, fight, or flight is no option. In that case, the animal “plays dead”. This behavior occurs when threat is extremely close and freezing, fight or flight behavior is unsuccessful or when there is physical contact with the predator (Hageraars et al., 2014; Marx et al., 2008). TI is normally evoked in case of (the perception of) entrapment and intense fear (Marx et al., 2008; Ratner, 1967), which is why research on human TI has focused on sexual violence mainly. Physical markers of TI are unexplored or even contradictory, although some key features have been observed in animals: a catatonic-like motionless posture, Parkinsonian-like tremors, suppressed vocal behavior, and analgesia (Gallup, 1974; Gallup & Rager, 1996). As such, it has been suggested to be related to dissociation in humans. However, several findings suggest TI and dissociation are related but distinctive constructs (Lima et al., 2010; Abrams, Hons, Carleton, Taylor & Asmundson, 2009). TI has been documented in many different species; insects, birds, fish, reptiles, and mammals (e.g., Gallup, 1974; Watsky & Gruber, 1990). In humans, interest in TI responses has grown in the past decade. As TI in animals occurs under acute threat, the most obvious analogue for human TI would be under conditions of extreme threat, i.e., trauma. Indeed, research on human TI has focused mainly on posttraumatic stress disorder (PTSD; Marx et al., 2008). In humans, TI is mostly assessed using the Tonic Immobility Scale (TIS), a self-report measure that was developed to reflect physiological and behavioral features that are associated with TI in animals, as well as general fear responding (Fusé, Forsyth, Marx, Gallup & Weaver, 2007; Heidt, Marx & Forsyth, 2005).

Peritraumatic TI responses have indeed been reported in humans as well. Unfortunately, the prevalence of TI in the general population is not clear, and numbers differ greatly across studies, due to the use of different terminology (freezing versus TI), different instruments for assessing TI (e.g., TIS, one-item scale for inability to move, experimental immobility induction), different settings (experimental threat versus trauma), and different populations that are included. For clarity reasons, the present study will therefore use TI when the construct of TI is measured (for example with the TIS), whereas “immobility” is used when the exact type of immobility response is not clear. For example, after CO₂ inhalation in an experimental setting, 13% of the healthy participants reported immobility (Schmidt, Richey, Zvolensky, & Maner, 2008). Percentages are higher in specific groups; within a group of rape victims 37% reported TI (Galliano, Noble, Travis, & Puelch, 1993), and within a group of traumatized inpatients 72.5% reported TI (Heidt et al., 2005). Therefore, the first aim of the present study is to determine the prevalence of TI in a large, representative community sample.

Secondly, this study aims to examine consequences of TI in terms of PTSD development. Several studies report negative consequences of TI: peritraumatic TI was related to depression, anxiety, peritraumatic dissociation, PTSD, intrusive images, reduced help-seeking, and poorer treatment outcome (Abrams et al., 2009; Bovin, Dodson, Smith, Gregor, Marx, Pineles, 2014; Bovin, Jager-Hymann, Gold, Marx & Sloan, 2008; Fiszman et al., 2008; Galliano et al., 1993; Hagedaars & Putman, 2011; Heidt et al., 2005; Kalaf et al., 2015). Moreover, two experimental studies have examined the role of induced peritraumatic immobility using the trauma-film paradigm. Participants that had watched the film in an immobile state developed more intrusive images of the film than those that were free to move during the film (Hagedaars, Van Minnen, Holmes, Brewin & Hoogduin, 2008). This may suggest altered encoding and consolidation processes during peritraumatic immobility. This was further confirmed by the fact that immobility during analogue trauma was associated with intrusive images rather than thoughts, while “free-to-move” participants showed similar frequencies of intrusive images and thoughts (Hagedaars, Brewin, Van Minnen, Holmes & Hoogduin, 2010). However, contradictory findings have also been reported. Abrams, Carleton, and Asmundson (2012) found that TI (a composite score of TI and dissociation) did not predict PTSD severity after controlling for peritraumatic dissociation and trait anxiety.

In addition, all studies described above included specific populations (i.e., university students), or specific traumas (i.e., sexual assault), or relatively small samples. Only one study included a large sample, but that sample consisted of traumatized individuals only, and confounding factors were not controlled for (Kalaf et al., 2015). It is highly relevant to control for state and trait TI-related factors in order to determine the true and independent role of TI (Zoellner, 2008). First, being a threat-related behavior, TI might simply be an indicator of event severity or fear. Therefore, research on TI should preferably control for fear during the event, as well as fear/anxiety proneness, especially because both have been associated with PTSD development (Lin et al., 2015; Ozer, Best, Lipsey & Weiss, 2003). Second, there is a conceptual overlap with dissociation, which has also been suggested to be involved in the development of PTSD (Hagedaars & Krans, 2011; Ozer et al., 2003). Thus, dissociation is another factor that should be controlled for when investigating TI (Zoellner, 2008). Finally, immobility responses may also be predictive as a symptom of behavioral inhibition, a general tendency to respond with withdrawal (Kalin & Shelton, 1989), that has indeed been linked to the development of (social) anxiety disorders (Barker, Reeb-Sutherland & Fox, 2014).

The present study therefore examines the association between peritraumatic TI and PTSD in a large representative sample while controlling for possible confounding factors: Trait anxiety, behavioral inhibition, dissociation, and peritraumatic fear and dissociation (Hagedaars et al., 2014).

Material and methods

Participants

In this paper use is made of the data of LISS (Longitudinal Internet Studies for the Social Sciences) panel administered by CentERdata (Tilburg University, The Netherlands). The LISS panel is a representative sample of Dutch individuals who participate in a monthly internet survey of about 15 to 30 minutes. The panel is based on a true probability sample of households drawn from the population register. Households that would not have been able

to participate were provided with a computer and internet connection to ensure representativeness (see www.LISSdata.nl for more information).

6778 panel members were approached for participation. Non-response was 1945 (28.7%) and the data of 52 participants was incomplete, leaving a total sample of 4781 participants. Age ranged from 16 to 93 ($M = 50.48$, $SD = 17.32$) and 2198 were male (46.0%). Educational level was low for 1734 participants (36.3%), middle for 1585 participants (33.2%), and high for 1462 participants (30.6%). All participants gave written informed consent after complete description of the study.

Measures

Peritraumatic responses.

Peritraumatic TI, fear and dissociation were assessed with the Tonic Immobility Scale (TIS; Forsyth, Marx, Fusé, Heidt & Gallup, 2000; De Kleine, Van Minnen & Hageraars, 2009). The TIS was originally designed to assess presence and severity of TI in victims of sexual assault. The instructions were therefore adjusted in the present study so that the items referred to any trauma. More specifically, participants were asked to report about experiences that occurred at the time of their (main) trauma or (in case there was no trauma) most frightening event. The 10 self-report items of the TIS are scored on a 7-point Likert scale, ranging from 0 (not at all) to 6 (extremely/very much). Exploratory and confirmatory factor analyses showed that the TIS comprises two subscales (Fusé et al., 2007): Fear (TIS-Fear; 3 items) and Tonic Immobility (TIS-TI; 7 items). Example items of TIS-TI are "Rate the degree to which you were unable to move even though not restrained" and "Rate the degree to which you were unable to call out or scream during the event". Reliability of TIS-TI was .86 in a previous study (Fusé et al., 2007) and .78 in the present sample. The TIS-Fear subscale is less consistent both theoretically as in psychometric properties. Originally, the 3 TIS-Fear items loaded on 1 factor and the subscale was moderately reliable (.65; Fusé et al., 2007). Items on this scale address trembling/shaking, feelings of fear/panic, and dissociation (derealisation). The dissociation item seems out of place theoretically, and indeed later findings with a similar TI-questionnaire yielded a 3 factor solution comprising Physical immobility, Fear and Dissociation subscales (Abrams et al., 2009). Moreover, reliability for the original 3-item TIS-Fear subscale was low in the present sample (.45). A closer inspection of the scale showed that this was caused by the one dissociation item that did not load on the Fear factor (factor loading = .02). Furthermore, reliability of the TIS-Fear greatly increased when this item was removed (.63). Therefore, in the present study a 2-item TIS-Fear was used. The dissociation item was used separately as an index of peritraumatic dissociation (TIS-Diss).

Trait anxiety.

Trait anxiety was assessed with the Spielberger State-Trait Anxiety Inventory (STAI-T; Spielberger, Gorsuch, Lushene, Vagg, & Jacobs, 1983; Van der Ploeg, Defares & Spielberger, 1980), a 20-item self-report questionnaire on which participants report how anxious they feel in general using a scale ranging from 0 to 4. The internal consistency of the STAI is high ($\alpha = .90$), and test-retest reliability ($r = .70 - .76$) and concurrent validity are good (Spielberger et al., 1983).

Dissociative tendencies.

Dissociative tendencies were measured with the Dissociative Experiences Scale (DES; Bernstein & Putnam, 1986; Carlson & Putnam, 1993; Draijer & Boon, 1993), a 28-item self-rating scale that measures the tendency to experience dissociation in daily life. For each item the respondent states how often a specific dissociative symptom occurs. Compared to other instruments gauging dissociation, the convergent validity of the DES was shown to be very good and its reliability good (Cohen's $d = 1.82$, mean α reliability = .93; Van IJzendoorn & Schuengel, 1996).

Behavioral inhibition.

Behavioral inhibition was assessed with the BIS subscale of the BIS/BAS (Carver & White, 1994; Franken, Muris & Rassin, 2005), a 24-item self-rating scale that measures individual differences in the sensitivity of the behavioural

approach system (BAS) and behavioural avoidance or inhibition system (BIS). Higher scores on the BIS scale indicate predisposition to anxiety rather than experience of anxiety. The BIS scale has good reliability (Cronbach's $\alpha = .76$; Jorm, Christensen, Henderson, Jacomb, Korten, & Rodgers, 1999).

Posttraumatic Stress Symptoms.

The Posttraumatic Stress Symptom Scale, Self-Report (PSS-SR) is a 17-item self-report questionnaire that measures the frequency of PTSD symptoms (Engelhard, Arntz & van den Hout, 2007; Foa, Riggs, Dancu, & Rothbaum, 1993). Each item corresponds to one of the DSM-IV criteria for PTSD, and has three subscales: reexperiencing symptoms, avoidance symptoms and arousal symptoms. Each item has to be answered on a 4-point Likert scale. Analyses showed a high internal consistency ($\alpha = .91$), and a good test-retest reliability of overall PTSD severity ($r = .74$) (Foa et al., 1993).

Trauma experiences.

Trauma experiences were assessed by an adjusted version of the Negative Life Experiences and Trauma Questionnaire (NLETQ; Engelhard, Van den Hout, Kindt, Arntz & Schouten, 2003). The original NLETQ consists of 24 items describing various events and one open-ended item ("other, namely") for unlisted events (Morgan & Janoff-Bulman, 1994). Participants have to indicate whether they experienced the event. The original NLETQ also includes several life events (e.g., divorce of parents, death of a friend, illness of someone close to you, going bankrupt). These were removed for the present study. For clarity reasons, some of the other items were combined in the analyses (e.g., "physical violence as an adult by a known person" and "physical violence as an adult by an unknown person" were combined: "Physical violence (adult)"), resulting in a list of 10 trauma types.

Procedure

LISS panel members completed the NLETQ in wave 1, and all other questionnaires in wave 2 (order: STAI, BIS, DES, TIS, PSS-SR).

Analyses

Bivariate correlations were used to test which of the variables would be associated with PTSD when looking at univariate relationships. Then, hierarchical linear regression analyses were used to test whether peritraumatic fear and TI (state predictors, entered in block 2) would predict PTSD severity over the effect of general variables (age, gender, education, entered in block 1), and whether this effect would hold when controlling for well-known trait predictors (trait anxiety, dissociation, and behavioural inhibition, entered in block 3). Multicollinearity was checked as several predictors could be related. All tests are two-tailed. Because of the large sample size, significance levels were set at $\alpha = .01$. For the same reason, in addition to significance levels, β weights are inspected as an indicator of the magnitude and relevance of the effect. Betas smaller than .10 were considered too small to count as important predictors.

Results

Prevalence PTSD and tonic immobility

Descriptives are listed in Table 1. 1574 (32.9%) of the participants reported having experienced a traumatic event. Of these victims, 446 (28.3%) suffered from PTSD (scoring > 14 on the PSS; Wohlfarth, Van den Brink, Winkel, & Ter Smitten, 2003), which is 9.3% of the total sample. PTSD symptoms were severe in those with PTSD (PSS: $M = 23.52$, $SD = 7.67$). Trauma/PTSD and Trauma/NoPTSD participants were younger than NoTrauma/NoPTSD participants ($F(2, 4778) = 7.11$, $p = .001$, $\eta_p^2 = .82$; both $p_{\text{posthoc}} < .01$). There were no age differences between Trauma/PTSD and Trauma/NoPTSD participants ($p_{\text{posthoc}} = .22$). Trauma/PTSD participants were female more often than Trauma/NoPTSD and NoTrauma/NoPTSD participants ($\chi^2(N = 1574) = 29.74$, $p < .001$ and $\chi^2(N = 3653) = 19.33$, $p < .001$ respectively). There were no gender differences between Trauma/NoPTSD and NoTrauma/NoPTSD participants ($\chi^2(N = 4335) = 5.78$, $p = .02$). Trauma/NoPTSD participants were highly educated more often than

Trauma/PTSD and NoTrauma/NoPTSD participants ($\chi^2(N = 1574) = 25.90, p < .001$ and $\chi^2(N = 1574) = 54.82, p < .001$ respectively). There were no education differences between Trauma/PTSD and NoTrauma/NoPTSD participants ($\chi^2(N = 3653) = 3.61, p = .16$). In sum, NoTrauma/NoPTSD participants were older, Trauma/NoPTSD participants were more highly educated and Trauma/PTSD victims were female more often than the other groups.

Table 1: Descriptives and prevalence of tonic immobility and PTSD in trauma participants with and without PTSD and no-trauma participants (N = 4781)

Variable	No trauma, no PTSD (n = 3207)	Trauma, no PTSD (n = 1128)	Trauma and PTSD (n = 446)
	M[SD]	M[SD]	M[SD]
Age*	51.11 [17.57]	49.54 [16.52]	48.36 [17.22]
Gender (% males)**	45.9%	50.2%	35.0%
Educational level**			
Low	39.0%	27.9%	37.7%
Middle	32.5%	33.7%	36.8%
High	28.5%	38.4%	25.6%
PSS-SR	N/A	7.53 [3.05]	23.56 [7.70]
TIS-TI*	7.47 [6.80]	8.50 [6.79]	15.02 [8.38]
Low	93.0%	92.9%	74.7%
Moderate	6.5%	6.3%	17.7%
Extreme	.6%	.8%	7.6%

* $p < .01$; ** $p < .001$

Note: Clustering of peritraumatic tonic immobility (TIS-TI) scores: low: total score = 0-20, moderate: total score = 21-27, extreme: total score ≥ 28 . PSS-SR = Posttraumatic Symptom Scale-Self Report; TIS-TI = Tonic Immobility Scale-TI subscale.

Mean TIS-TI across groups was low ($M = 8.41, SD = 7.29$). Groups differed significantly on TI scores, though. Trauma/PTSD participants reported higher levels of TI than Trauma/NoPTSD and NoTrauma/NoPTSD participants ($F(2, 4778) = 230.25, p < .001, \eta_p^2 = .09$; both $p_{\text{posthoc}} < .001$). Trauma/No-PTSD participants also experienced more TI than and No-Trauma/No-PTSD participants ($p_{\text{posthoc}} < .001$). In order to be able to compare the prevalence of TI across studies, TIS-TI scores were categorized in three clusters: low (total score of 0 to 20), moderate (total score of 21-27) and extreme (total score of 28 or higher; Heidt et al., 2005). Trauma/PTSD participants experienced extreme TI more often than Trauma/No-PTSD and No-Trauma/No-PTSD participants ($\chi^2(N = 1574) = 110.36, p < .001$ and $\chi^2(N = 3653) = 21123, p < .001$ respectively). Note that Trauma/No-PTSD participants did not differ from No-Trauma/No-PTSD ($\chi^2(N = 4335) = .58, p = .75$).

TI and trauma type

Traumas were categorized in order to explore TI responses in different trauma types (see Table 2). Percentages extreme TI indeed differed per trauma. Moreover, some traumas were associated with increased TI (more TI in those that experienced that trauma versus those who did not) whereas other were not (no difference in TI between those who did and did not experience that trauma). More specifically, extreme TI was indeed more present in those with sexual violence (childhood or adulthood), childhood emotional abuse, serious accidents, and war-related trauma (all $\chi^2_s > 11.97$, all $p_s < .004$), versus those without such trauma. For the other trauma types, differences in TI between participants with and without that trauma did not reach significance.

TI and PTSD severity

PTSD was not relevant for no-trauma participants and therefore correlation and regression analyses were done for those with trauma ($N = 1574$). Bivariate correlations revealed significant associations between PTSD severity and all other variables (see Table 3). Thus, without controlling for other variables, PTSD was related to peritraumatic fear, peritraumatic TI, trait anxiety, dissociative tendencies and behavioral inhibition.

Table 2: Trauma types and tonic immobility scores per trauma type ($N = 1574$)

Trauma type	N (%)	Mean TI M (SD)	TI category		
			Low	Moderate	Extreme
Childhood sexual abuse**	122 (2.6%)	13.14 (9.58)	79.3%	11.7%	9.0%
Childhood physical abuse	110 (2.4%)	10.61 (8.17)	86.0%	10.8%	3.2%
Childhood emotional abuse**	254 (5.5%)	11.39 (8.15)	84.2%	12.3%	3.5%
Sexual violence (adult)**	152 (3.28%)	13.44 (9.62)	74.8%	16.5%	8.7%
Physical violence (adult)	234 (5.06%)	10.96 (7.81)	87.4%	10.1%	2.5%
Serious accident*	286 (6.2%)	8.84 (7.54)	90.8%	5.6%	3.6%
Disaster or fire	66 (1.4%)	7.88 (6.16)	94.9%	5.1%	0.0%
Life threatening illness	417 (9%)	8.30 (7.66)	91.3%	6.6%	2.0%
War-related trauma**	436 (9.4%)	6.29 (6.14)	96.7%	3.1%	0.2%
Other ^a	273 (5.9%)	10.31 (7.74)	87.2%	9.9%	2.9%

* $p < .01$; ** $p < .001$

^aFor example: armed robbery, death threat, witness of an airplane crash.

*Table 3: Univariate correlations in those who experienced a traumatic event ($N = 1574$)**

variable	Correlation coefficient (r)									
	1	2	3	4	5	6	7	8	9	10
1 TIS-Fear	-									
2 TIS-Diss	.04	-								
3 TIS-TI	.24	.64	-							
4 STAI-t	.20	.28	.35	-						
5 DES	.23	.40	.37	.35	-					
6 BIS	.17	.15	.30	.57	.18	-				
7 PTSD-Reexperiences	.21	.40	.42	.52	.40	.231	-			
8 PTSD-Avoidance	.18	.35	.39	.60	.38	.32	.77	-		
9 PTSD-Arousal	.21	.30	.38	.60	.41	.40	.62	.69	-	
10 PTSD-Total	.22	.39	.45	.64	.44	.38	.90	.93	.84	-

*all $ps < .001$ except for the correlation between TIS-Fear and TIS-Diss ($p > .01$).

Note: TIS-Fear = Tonic Immobility Scale-Fear subscale; TIS-Diss = Tonic Immobility Scale-Dissociation subscale; TIS-TI = Tonic Immobility Scale-TI subscale; STAI-t = State Trait Anxiety Inventory-trait; DES = Dissociative experiences Scale; BIS = Behavioral Inhibition Scale

Hierarchical linear regression analyses showed a somewhat different picture though (see Table 4). In block 1, female gender and lower education predicted PTSD severity, age did not. Together, the general variables significantly predicted PTSD, although the explained variance was low (4%). Peritraumatic fear, dissociation and TI (entered in block 2) improved the model substantially, accounting for another 22% of the variance ($R^2 = .26$, $p < .001$). Peritraumatic TI was the highest predictor, but peritraumatic dissociation, peritraumatic fear and education also predicted PTSD severity. Gender and age did not predict PTSD. Peritraumatic TI was still a robust predictor in block 3 after adding trait variables. Other significant predictors were trait anxiety and dissociative tendencies. Gender,

education, peritraumatic fear, peritraumatic dissociation, and behavioral inhibition did not predict PTSD severity. Age reached significance but with $\beta = .06$ this is not considered a relevant predictor. The trait variables again explained a substantial amount of variance, with the total model being highly significant and explaining much variance ($R^2 = .51, p < .001$).

Because the predictors we included are likely to be related, we checked for multicollinearity. Tolerance was $> .53$ and VIF < 1.86 for all variables indicating there was no multicollinearity problem (which may be present when tolerance $< .20$ and VIF > 5).

Table 4: Tonic immobility, control variables and confounding variables as predictors of posttraumatic stress symptoms (N = 1574)

Predictor	B	β	p	95% CI	ΔR^2
Model 1					.04
Age	-.04	-.06	.02	[-.06, .00]	
Gender	2.07	.12	< .001	[1.13, 3.02]	
Education	-1.14	-.13	< .001	[-1.61, -.67]	
Model 2					.22
Age	.01	.02	.54	[-.02, .03]	
Gender	.68	.04	.12	[-.17, 1.53]	
Education	-.90	-.10	< .001	[-1.32, -.49]	
TIS-Fear	.23	.09	< .001	[.11, .36]	
TIS-Diss	.89	.15	< .001	[.53, 1.25]	
TIS-TI*	.41	.37	< .001	[.37, .46]	
Model 3					.26
Age	.03	.06	< .01	[.01, .05]	
Gender	.37	.02	.32	[-.35, 1.08]	
Education	-.29	-.03	.10	[-.63, .06]	
TIS-Fear	.08	.03	.11	[-.02, .18]	
TIS-Diss	.24	.04	.12	[-.07, .53]	
TIS-TI	.23	.20	< .001	[.17, .29]	
STAI-Trait	.43	.49	< .001	[.39, .48]	
DES	.04	.19	< .001	[.03, .05]	
BIS	-.02	-.01	.68	[-.14, .09]	

Note: all models reached significance ($p < .001$ for all ΔR^2). TIS-Fear = Tonic Immobility Scale-Fear subscale; TIS-Diss = Tonic Immobility Scale-Dissociation subscale; TIS-TI = Tonic Immobility Scale-TI subscale; STAI-t = State Trait Anxiety Inventory-trait; DES = Dissociative experiences Scale; BIS = Behavioral Inhibition Scale

Discussion

The present study aimed to investigate the prevalence of TI responses as well as their association with PTSD. Previous studies used selective and relatively small samples, and often did not control for well-known PTSD predictors (such as trait anxiety) or TI-related variables (such as dissociation). Here, prevalence rates for TI are presented for the first time in a large community sample. Furthermore, the link between TI and PTSD was indeed present after controlling for several confounding factors.

Extreme TI responses were quite rare. Especially participants with PTSD had responded with TI, but even in this group, TI occurred in 25.3% and only 7.6% experienced extreme TI. This is somewhat lower than reported in other studies using traumatized groups, where 37% up to 72% report TI. Possibly, TI is a more frequent response in specific trauma types (such as rape) or in specific populations (for example persons with a vulnerability to develop psychiatric disorders). Alternatively, including selective groups such as inpatients may result in over-reporting immobility as a

result of undergoing treatments that focus on personal reactions. On the other hand, moderate TI was not unusual, even in those without trauma (6.5%), suggesting that frightening events do not have to be traumatic in order to elicit TI. Moreover, the data partly confirm previous findings that the highest TI rates are observed for sexual trauma (childhood or adulthood; Kalaf et al., 2015). This is in line with the theory that TI occurs under conditions of extreme fear and restraint, i.e., physical contact with the perpetrator. High TI rates were also found for those that experienced childhood emotional abuse and war-related traumas though. Investigating TI in different trauma types was not the aim of the present study, but future studies should examine specific trauma characteristics that elicit TI, such as interpersonal, physical contact with the perpetrator, perceived threat, and unpredictability.

Interestingly, TI was a stable predictor of PTSD that held after controlling for several other strong predictors. Trauma itself did not necessarily lead to TI: the no-PTSD trauma group did not experience higher levels of TI than the no-trauma group. TI therefore seems to be a significant response that may play an important role in PTSD development. This is in line with most studies that investigated the relationship between TI and PTSD (Heidt et al., 2005; Rizvi, Kaysen, Gutner, Griffin, & Resick, 2008; Rocha-Rego et al., 2009). It is not in line with Abrams et al. (2012). This might be explained by the fact that TI was operationalized differently, though. Abrams et al. (2012) used a composite measure for TI comprising the Physical immobility and Dissociation subscales of the Tonic Immobility *Questionnaire* (a measure resembling the TIS). They additionally controlled for peritraumatic dissociation in their analyses. Note that in the current sample PTSD was related to immobility per se, not to the fear factor associated with TI responses, nor to peritraumatic dissociation, again indicating the relevance of automatic threat-related behavioral responses. Action tendencies such as fight or flight (e.g., avoidance) have indeed been found to be related to psychopathology (Brewin & Holmes, 2003; Von Borries et al., 2012), now it seems that automatic immobility is also associated with psychopathology. It is not clear which part of the TI response is responsible for the link with PTSD, though. Freezing or feeling paralyzed is addressed in one of the items included in the TIS-TI. At this point more research is needed to clarify whether this pure immobility response is driving the effects or the complete TI construct, including various (subjective) restraint symptoms.

It is also not clear what drives the TI-PTSD association. At this point, several moderating and mediating factors could play a role. For example, (tonic) immobility may activate dysfunctional emotions and cognitions (such as shame, self-blame and guilt; Mezey & Taylor, 1988). It might be associated with peritraumatic factors such as fear of life, trauma severity (Bovin et al., 2008; Brewin, Andrews, & Valentine, 2000; Ozer et al., 2003), inescapability and uncontrollability (Bovin et al., 2008; Foa, Zinbarg, & Rothbaum, 1992). It might also provoke negative judgements from others leading to reduced social support (McCaul, Veltum, Boyechko & Crawford, 1990). Finally, TI may be related to altered processing of trauma information (Gallup, Boren, Suarez, Wallnau, & Gagliardi, 1980). That is, defensive immobility responses are associated with optimal attentional processes and maximal sensory intake (Bradley, Codispoti, Cuthbert, & Lang, 2001), as well as physical responses such as increases in cortisol and bradycardia. These may affect (re)consolidation of trauma information, leading to the formation of vivid, sensory-rich intrusive memories of the trauma (Brewin, Dalgleish, & Joseph, 1996; Ehlers & Clark, 2000).

Finally, note that there may be an alternative explanation for the findings: over-reporting of symptoms. PTSD patients may be more likely to over-report symptoms because of malingering, misattribution, memory bias, or as a "cry for help" (Constans et al., 2014; Guriel & Fremouw, 2003; McNally & Frueh, 2013). Future studies with longitudinal designs should clarify the exact relationship between peritraumatic TI and PTSD, preferable while controlling for over-reporting (Merckelbach, Langeland, De Vries & Draijer, 2014). More research is needed to examine explanatory mechanisms underlying the TI-PTSD association. To date, only one prospective study has been done (Maia et al., 2015), and a start has been made to examine moderation (Hagenaars & Putman, 2011) and mediation (Bovin et al., 2014; Bovin et al., 2008). In addition to the use of a longitudinal design, future studies should also assess medication or substance use at the time of the trauma, as well as illness and injury, as these may also be confounding factors. Another note should be made on the relatively high prevalence of PTSD both within the trauma-exposed sample and in the total sample. Lower PTSD rates have been reported in the Netherlands as well as in other countries (e.g., De Vries & Olf, 2009; Kessler et al., 2005). The high PTSD rates in this study may be related to the age of the cohort. A previous study indicated that 45-59 age cohort had the highest PTSD rates (Kessler et al., 2005), which was exactly the predominant age in the present study. Also, the use of the PSS as a diagnostic measure resulted in higher PTSD rates (Engelhard et al., 2007), and higher PTSD rates were found when the impairment/distress criterion was not

included (Stein, Walker, Hazen & Forde, 1997). Having said that, analyses with the PSS as a continuous measure were not affected by PTSD categories.

As expected dissociative tendencies and trait anxiety were also strongly associated with PTSD. This is in line with previous studies on PTSD stressing the predictive value of trait dissociation (Hagenaars & Krans, 2011; McCaslin et al., 2008), and trait propensity to anxiety (Engelhard, Van den Hout & Schouten, 2006; Laposa & Alden, 2008; Lawrence & Fauerbach, 2003; Weems et al., 2007).

Equally interesting is the fact that some variables did not predict PTSD severity. First, although peritraumatic fear was related to PTSD in univariate analyses, its effect was small when controlling for TI and even not a significant predictor at all after controlling for trait predictors. Previous studies have quite consistently found that peritraumatic emotional responses predict PTSD (Ozer et al., 2003; Bovin et al., 2008; Brewin et al., 2000). It is possible that peritraumatic TI is a stronger predictor and there is an overlap in variance explained by the two. Also, TI may be mediating the effects of peritraumatic fear (Bovin et al., 2008). Alternatively, the operationalization of peritraumatic fear (the fear subscale of the TIS) may not have been adequate. The TIS-Fear subscale might need to be reconsidered indeed; Although original factor analyses found a 2-factor solution for the TIS (TI and Fear), later examinations of the TIS did not confirm this solution but instead favoured a one-factor solution without 2 of the 3 TIS-fear items (shaking and derealisation; Reichenheim et al., 2014), thus leaving in 1 TIS-Fear-item only. A factor analysis in the present sample confirmed a 2-factor solution, although one of the Fear-items was at odds. Moreover, some studies found effects for the TIS-TI subscale but not for the TIS-Fear subscale (Hagenaars & Putman, 2011) and low internal consistency for the TIS-Fear subscale was reported previously as well ($\alpha = .44$; Bovin et al., 2008). This may indicate that the TIS-Fear subscale is not well applicable for all populations and trauma types and peritraumatic emotional responses are better assessed with other instruments.

Peritraumatic dissociation is a second variable that was no longer significantly related to PTSD after controlling for trait variables. Previous findings on peritraumatic dissociation as a predictor of PTSD are mixed (Ozer et al., 2003; Van der Velden & Wittmann, 2008). Some studies on TI and PTSD controlled for peritraumatic dissociation, with mixed results too. The present study used a one-item measure for dissociation, which raises validity questions. Conceptual overlap between TI and dissociation has already been suggested, although some evidence suggests both are associated with distinct cognitive processing: dissociation impairs higher cognitive functioning, whereas consciousness and learning in TI is intact or even enhanced (Gallup et al., 1980; Spiegel, 1995).

Another variable without predictive power was behavioural inhibition. Although behavioural inhibition was related with PTSD severity in univariate analyses, it lost its predictive power when other variables were included in the model. Several studies found a link between behavioural inhibition and PTSD, especially with avoidance symptoms (Contractor, Elhai, Ractliffe & Forbes, 2013; Gudiño, 2013). Possibly, behavioural inhibition as a general tendency to respond with withdrawal was overruled by TI because peritraumatic responses may be stronger predictors than trait variables (Hagenaars & Krans, 2011; Ozer et al., 2003). The predictive power of behavioural inhibition may also have been overruled by trait anxiety, being a closely related concept and a strong predictor of PTSD as well. Behavioural inhibition was indeed strongly related to trait anxiety in this study ($r = .57$). Theoretically, TI can be considered an expression of the fear system, whereas behavioural inhibition can be categorized as an anxiety response (McNaughton & Gray, 2000), with the fear system being more relevant in a trauma context and behavioural inhibition more closely related to shyness. Behavioral inhibition was indeed a relevant precursor for later social anxiety (Gladstone, Parker, Mitchell, Wilhelm & Malhi, 2005).

In conclusion, the present study investigated prevalence of TI and its association with PTSD. TI was strongly associated with PTSD, even after controlling for several related factors such as peritraumatic dissociation, trait anxiety and dissociative tendencies. Our findings are in line with other studies using selective or smaller samples (e.g., Heidt et al., 2005), and with experimental findings (Hagenaars et al., 2008; Hagenaars et al., 2010; Hagenaars & Putman, 2011), and underscore the importance of future research of immobility responses and threat-related psychopathology.

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